



American Board of Psychiatry and Neurology, Inc.

A Member Board of the American Board of Medical Specialties (ABMS)

Audit Guidelines for Diplomates

The ABPN will randomly audit approximately five percent of the diplomates in the MOC Programs each year. Diplomates who are audited will receive an email detailing the documentation required as evidence of completion of required MOC activities (CME, Self-Assessment, Patient Safety and PIP activities).

Diplomates with expired or invalidated ABPN certificates will be audited upon re-entry into the ABPN certification process.

Diplomates will be notified of the audit following completion of a three-year C-MOC block.

Once notified by the ABPN via email, diplomates will have 90 days to submit documentation of activities that have been attested to in ABPN Physician Folios but not verified. Sponsor-provided MOC activities are considered verified and will not require documentation. Self-recorded MOC activity records are not considered verified by the ABPN. Diplomates will be notified regarding the status of their audit within the 90-day audit window.

The Continuous Maintenance of Certification (C-MOC) Program is for diplomates who pass an ABPN examination in 2012 or later (initial certification and/or recertification exam). Diplomates in the C-MOC Program must complete and attest to the following activity requirements every three years. Currently, the C-MOC requirements are:

- 90 Category 1 CME credits (includes SA CME)
- 24 Self-Assessment (SA) CME credits
- One Patient Safety Activity
- One Improvement in Medical Practice (PIP) Unit

See the [Maintain Certification](#) section of the website for additional information on requirements.

For diplomates who fail the C-MOC program audit:

If the ABPN has not received required documentation of MOC activities by the end of the 90-day audit period, the diplomate's certification status will be changed to Certified – Not Meeting MOC Requirements. The diplomate will have the remaining time in the next block to provide the ABPN Credentials auditor with the documentation to satisfy the requirements of the audit. If the requirements of the audit are met within this timeframe, the diplomate's certification status will be updated to Certified – Meeting MOC Requirements.

For diplomates who miss more than six years (two blocks) of MOC activities:

Diplomates who miss more than six years (two blocks) of MOC activities will be reported as 'Not Certified'. The status may be revised to 'Certified and Meeting MOC Requirements' upon successful completion of the following:

- An active unrestricted medical license and no restrictions on any license
- 90 Category 1 CME credits (including SA CME)
- 24 Self-Assessment (SA) CME credits

- One Patient Safety Activity
- One PIP Unit -- consisting of one Clinical Module OR one Feedback Module
- Paying the full MOC examination fee
- Passing the MOC examination

For additional information, contact the ABPN at questions@abpn.com or 847.229.6510.

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